## Research Integrity Funder Requirements Guidance Document: Diabetes UK - During Research

In addition to the below guidance, for existing grant holders, please also refer to the contract of your grant's terms and conditions for any additional or superseding potential requirements on these topics.

If you have any questions or queries about the standard Diabetes UK Grant Conditions or standard revenue and equity-sharing terms please contact the Diabetes UK Research department at <a href="mailto:research@diabetes.org.uk">research@diabetes.org.uk</a>.

## **Conflict of Interest**

Please familiarise yourself with the policies located here:

https://diabetes-resources-production.s3.eu-west-1.amazonaws.com/resources-s3/public/2022-10/DUK%20Grant%20Conditions%20Final%20Version%20v.2.pdf

Of note on page 4 of 16 in sections 3.7 and 3.8 it states:

- "3.8 The Institution will ensure that it has in place throughout the Grant Period procedures for the identification and management of potential conflicts of interest in connection with the Project. The Institution will notify Diabetes UK of any conflicts of interest that arise and will keep Diabetes UK informed of any actions taken in relation to such issues.
- 3.9 The Institution will promptly notify Diabetes UK of any incident connected to the Project (which the Institution at its reasonable discretion considers relevant to Diabetes UK's rights and interests in the Project), and will keep Diabetes UK promptly informed of any developments connected to such incident."

## **Research Misconduct**

Please familiarise yourself with the policies located here:

 $\frac{https://diabetes-resources-production.s3.eu-west-1.amazonaws.com/resources-s3/public/2022-10/DUK%20Grant%20Conditions%20Final%20Version%20v.2.pdf}{\label{lem:lem:production}}$ 

Of note on page 3 of 16 in section 3.3, it states: "If at any time ... after the Grant Period, allegations of research misconduct are made in relation to the Grant, or in relation to the Grantholder in connection with any other research, and are, in the reasonable opinion of Diabetes UK, substantiated, Diabetes UK reserves the right to take such steps as it, at its absolute discretion, considers appropriate which may include (but is not limited to):

- 3.3.1 Requiring the Institution to remove the Grantholder (or any other person involved in the Project) from the Project;
- 3.3.2 Requiring the Institution and/or Grantholder to retract published material;
- 3.3.3 withholding payment of subsequent instalments of the Grant, or requiring the Institution to reimburse Diabetes UK for some or all instalments of the Grant already paid;
- 3.3.4 taking such steps as it considers necessary to monitor the subsequent conduct of the Project and the Institution and the Grantholder will co-operate with Diabetes UK to facilitate this;

- 3.3.5 terminating the Grant with immediate effect....
- 14.3 The provisions of clause 3.3 shall apply in circumstances where any allegation of scientific misconduct is made in relation to the Grantholder and/or any personnel involved in the Project. The provisions of clause 3.3 shall continue to apply after the end of the Grant Period.. "